

|   |  |                          |                       |
|---|--|--------------------------|-----------------------|
| Substitute for Form 1449 A & B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |  | <i>Complete if Known</i> |                       |
|   |  | Application Number       | 10/826,697            |
|   |  | Confirmation Number      | 9605                  |
|   |  | Filing Date              | April 19, 2004        |
|   |  | First Named Inventor     | Takayuki KATO, et al. |
|   |  | Art Unit                 | 1755                  |
|   |  | Examiner Name            | not yet assigned      |
| Sheet 1 of 1<br>AUG 28 2004   |  | Attorney Docket Number   | Q81163                |

| U.S. PATENT DOCUMENTS |                          |                 |                                      |                                |   |
|-----------------------|--------------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                          | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |

| FOREIGN PATENT DOCUMENTS |                          |                              |                     |                                      |                                |  |                          |
|--------------------------|--------------------------|------------------------------|---------------------|--------------------------------------|--------------------------------|--|--------------------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                          | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |  |                          |
| JW                       |                          | JP                           | 7-267613            | A                                    | 10/17/1995                     |  |                          |
|                          |                          |                              |                     |                                      |                                |  |                          |
|                          |                          |                              |                     |                                      |                                |  |                          |
|                          |                          |                              |                     |                                      |                                |  |                          |
|                          |                          |                              |                     |                                      |                                |  |                          |
|                          |                          |                              |                     |                                      |                                |  |                          |
|                          |                          |                              |                     |                                      |                                |  |                          |
|                          |                          |                              |                     |                                      |                                |  |                          |
|                          |                          |                              |                     |                                      |                                |  |                          |

| NON PATENT LITERATURE DOCUMENTS |                          |  |                          |
|---------------------------------|--------------------------|--|--------------------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|                                 |                          |  |                          |
|                                 |                          |  |                          |
|                                 |                          |  |                          |
|                                 |                          |  |                          |

|                    |                |                 |            |
|--------------------|----------------|-----------------|------------|
| Examiner Signature | Timothy Vansoy | Date Considered | Mar 3 2006 |
|--------------------|----------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

Substitute for Form 1449 A &amp; B/PTO

Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/826,697       |
| Confirmation Number    | 9605             |
| Filing Date            | April 19, 2004   |
| First Named Inventor   | Takayuki KATO    |
| Art Unit               | 1755             |
| Examiner Name          | NOT YET ASSIGNED |
| Attorney Docket Number | Q81163           |

**U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
|                    |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
|                    |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |
|                    |                       |                           |                     |                                      |                                |   |                          |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|--------------------|-----------------------|--|--------------------------|
| JW                 |                       | Japanese Abstract No. 2003089519, dated March 28, 2003   |                          |
| JW                 |                       | T. KATO, ET AL., "Preparation of Hollow Alumina Microspheres by Ultrasonic Spray Pyrolysis", Journal of the Ceramic Society of Japan, 110[3], 2002, 146-148  |                          |
| JW                 |                       | T. OGIHARA, ET AL., "Synthesis and sintering of barium titanate fine powders by ultrasonic spray pyrolysis", Advanced Powder Technology (1999), 10(1), 37-50 as CA   |                          |
| JW                 |                       | C.H. CHAO, ET AL., "Ultrasonic spray pyrolysis of a chelated precursor into spherical YBa <sub>2</sub> Cu <sub>3</sub> O <sub>7-x</sub> high temperature superconductor powders", Journal of Materials Science (1995), 30(24), 6136-6144 as CA                   |                          |
|                    |                       |  |                          |
|                    |                       |  |                          |

|                    |               |                 |            |
|--------------------|---------------|-----------------|------------|
| Examiner Signature | Timothy Vandy | Date Considered | Mar 3 2006 |
|--------------------|---------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.